



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FLING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/008,773	12/05/2001	Paulus Van Venroolij	P-9781.00

CONFIRMATION NO. 9636

FORMALITIES LETTER



OC00000007303818

Medtronic, Inc
Thomas F. Woods
710 Medtronic, Parkway
Minneapolis, MN 55432

Date Mailed: 01/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

07/19/2002 SSALEEKU 00000010 132546 10008773

01 FC:105 130.00 CH

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Facsimile Cover Sheet



Medtronic
When Life Depends on Medical Technology

Bakken Research Center
Endepolsdomen 5
6229 GW Maastricht
The Netherlands



27581

To: OIPE
Box Duplicate
Fax (703) 746-4060

Subject: Reply to Notice of Missing Parts for:
Serial No. 10/008,773 Filed 12/02/2001
Docket No. P-9781.00

From: Eric R. Waldkoetter, Reg. No. 36,713
Tel 011 (31-43) 356-6845,
Fax 011 (31-43) 356-6521
eric.waldkoetter@medtronic.com

Date: July 5, 2002

Total Pages 21 (including this cover sheet)

Sir or Madam,

Please kindly deliver this facsimile promptly to the person responsible for processing missing parts.

Respectfully,

Eric Waldkoetter

This information transmitted is intended only for the person to whom it is addressed. It may contain confidential material and should be treated accordingly.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Van Venroolij et al.

Serial No. 10/008,773

Filed: 12/02/2001

Confirmation No. 9636

Title: Directional brain stimulation and recording leads

Docket No. P-9781.00

Examiner:

Art Unit: 3762

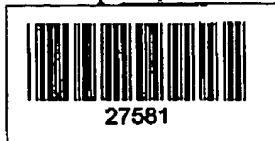
Certificate of Transmission 37 CFR § 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office Fax No. (703) 746-4060 on:

5 JUL 02 (date).
Eric R. WaldkoetterBox Missing Parts
Commissioner for Patents
Washington, D.C. 20231**RESPONSE TO NOTICE TO FILE MISSING PARTS UNDER 37 C.F.R. § 1.53(b)**

This is a response to the Notice To File Missing Parts mailed January 14, 2002. A copy of the Notice and the missing Combined Declaration are attached. Additionally, the reply includes a fee transmittal form with the required surcharge, a petition for extension of time and an appointment of associate attorney.

Respectfully submitted,

Date: 5 JUL 02
Medtronic, Inc.
710 Medtronic Parkway (MS-LC340)
Minneapolis, MN 55432-5604**Attachments:**

- Notice To File Missing Parts (copy 2); and,
- Combined Declaration.

Eric R. Waldkoetter
Attorney Reg. No. 36,713
Located in The Netherlands (ET + 6 hours)
Office Tel 011 (31-43) 356-6845
Cell Tel 011 (31) 6 5155-9936
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eric.waldkoetter@medtronic.com



PTO/SB/21 (08-00)
 Approved for use through 10/31/2002. OMB 0651-0031
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

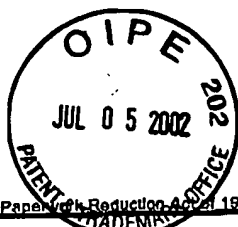
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/008,773
	Filing Date	12/02/2001
	First Named Inventor	Van Venroolij
	Group Art Unit	3762
	Examiner Name	
Total Number of Pages in This Submission		20
		Attorney Docket Number P-9781.00

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks Box Missing Parts Commissioner for Patents Washington, D.C. 20231		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Medtronic, Inc., USPTO Customer No. 27581 Eric R. Waldkoetter, Reg. No. 36,713
Signature	<i>Eric R. Waldkoetter</i>
Date	5 JUL 02

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: _____			
Typed or printed name			
Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1570.00

Complete if Known

Application Number	10/008,773
Filing Date	12/02/2001
First Named Inventor	Van Venroolij
Examiner Name	
Group Art Unit	3762
Attorney Docket No.	P-9781.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 13-2546
Deposit Account Name

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	60	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent Claims	-3** =	X	

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
116	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	1440.00
128	1,860	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(a)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1570.00

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Eric R. Waldkoetter	Registration No. (Attorney/Agent)	36,713
Signature	<i>Eric R. Waldkoetter</i>	Telephone	011 (31-43) 356-8845
		Date	4 JUL 02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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AS ORIGINALLY FILED